

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re patent  
appln. of: Laurence Paris

Serial No: 10/511,260

Filing Date: October 14, 2004

For: **LIQUID COMPOSITIONS FOR  
SOFT CAPSULES WITH  
EXTENDED RELEASE AND  
MANUFACTURING METHOD**

Atty. Dkt: 219-04  
Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Certificate of Mailing

Date of Deposit: June 16, 2005

I hereby certify that this paper or fee and the papers indicated as being transmitted therewith are being deposited with the United States Postal Service as "First Class Mail" postage prepaid in an envelope addressed to:

Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Alex R. Sluzas  
(type or print name of person making deposit)

*Alex R. Sluzas*  
Signature of person making deposit

TRANSMITTAL LETTER WITH CERTIFICATE OF MAILING

Dear Sir:

Enclosed herewith please find the following documents for filing in the United States

Patent and Trademark Office:

1. Response to Notice to File Missing Parts of Application Filing Date Granted (PTO-1533)(Small Entity);
2. Copy of Notification of Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US);
3. Executed Declaration and Power of Attorney (4 pages);
4. A check in the amount of \$65 in payment of declaration surcharge as set forth in 37 CFR 1.492(e) (fee code 2051); and
5. An acknowledgement postcard to be date-stamped and returned to Paul & Paul.

06/24/2005 GFREY1 00000090 10511260

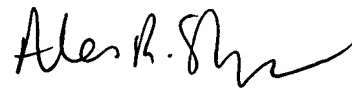
01 FC:2617

65.00 0P

SN: 10/511,260  
Page 2

If the amount enclosed is insufficient, the Office is hereby authorized to charge any additional amount, or credit any overpayment, to Paul and Paul Deposit Account No. 16-0750, Order No. 3241.

Respectfully submitted,



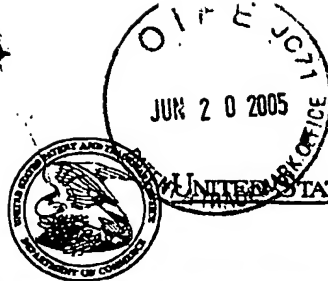
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Alex R. Sluzas  
Registration No. 28,669

June 16, 2005

Order No. 3241

Paul & Paul  
Two Thousand Market Street  
Suite 2900  
Philadelphia, PA 19103  
(215) 568-4900



DOCKETING

UNITED STATES PATENT AND TRADEMARK OFFICE

 UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

 6/21/05  
 Rec'd PCT/PTO 20 JUN 2005  
 ARS File

U.S. APPLICATION NUMBER NO. 10/511,260	FIRST NAMED APPLICANT Laurence Paris	ATTY. DOCKET NO. 219-04
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INTERNATIONAL APPLICATION NO. PCT/FR03/01195
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I.A. FILING DATE 04/15/2003	PRIORITY DATE 04/15/2002
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Paul & Paul  
 2900 Two Thousand Market Street  
 Philadelphia, PA 19103

RECEIVED  
 PAUL & PAUL

APR 27 2005

FILE No. 219-04

CONFIRMATION NO. 8662

371 FORMALITIES LETTER

\*OC000000015749061\*

Date Mailed: 04/21/2005

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 10/14/2004
- English Translation of the IA filed on 10/14/2004
- Copy of the International Search Report filed on 10/14/2004
- Copy of IPE Report filed on 10/14/2004
- Preliminary Amendments filed on 10/14/2004
- Information Disclosure Statements filed on 10/14/2004
- Oath or Declaration filed on 10/14/2004
- Priority Documents filed on 10/14/2004

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$65 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

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- \$65 Late oath or declaration Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

INDIA L EVANS

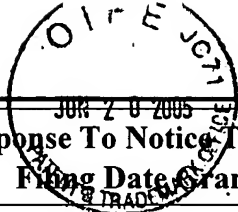
Telephone: (703) 308-9140 EXT 212

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/511,260	PCT/FR03/01195	219-04

FORM PCT/DO/EO/905 (371 Formalities Notice)

**BEST AVAILABLE COPY**



Rec'd PCT/PTC 20 JUN 2005

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
219-04

In Re Application Of: **Laurence Paris**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/511,260	10/14/04	India L. Evans	27569		8662

Invention: **Liquid Compositions for Soft Capsules With Extended Release and Manufacturing Method**

Mail Stop Missing Parts  
COMMISSIONER FOR PATENTS:

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
April 21, 2005  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ \_\_\_\_\_ verified small entity declaration(s)
  - ☐ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
219-04

In Re Application Of:

**Laurence Paris**

Application No. <b>10/511,260</b>	Filing Date <b>10/14/04</b>	Examiner <b>India L. Evans</b>	Customer No. <b>27569</b>	Group Art Unit	Confirmation No. <b>8662</b>
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Invention: **Liquid Compositions for Soft Capsules With Extended Release and Manufacturing Method**

TO THE COMMISSIONER FOR PATENTS:

Mail Stop Missing Parts

☒ Completion of application fees as calculated below:

- |   |                |
|---|----------------|
| <input type="checkbox"/> Utility application basic fee  | _____          |
| <input type="checkbox"/> Design application basic fee   | _____          |
| <input type="checkbox"/> Search Fee   | <u>\$0.00</u>  |
| <input type="checkbox"/> Examination Fee  | <u>\$0.00</u>  |
| <input type="checkbox"/> Total number of independent claims = _____   | _____          |
| <input type="checkbox"/> Total number of claims = _____   | _____          |
| <input type="checkbox"/> Multiple dependent claims  | _____          |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <u>\$65.00</u> |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor               | _____          |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification                      | _____          |
| <input type="checkbox"/> Fee for processing and retention of application  | _____          |

Total completion of application fees \$65.00

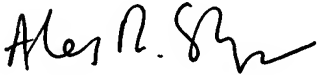
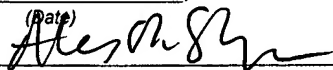
This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

Total time extension fees \_\_\_\_\_

Total fees due \$65.00

<b>Response To Notice To File Missing Parts Of Application</b> <b>Filing Date Granted (PTO-1533) (Small Entity)</b>					Docket No. 219-04	
In Re Application Of: Laurence Paris						
Application No. 10/511,260	Filing Date 10/14/04	Examiner India L. Evans	Customer No. 27569	Group Art Unit	Confirmation No. 8662	
Invention: Liquid Compositions for Soft Capsules With Extended Release and Manufacturing Method						
<p><u>TO THE COMMISSIONER FOR PATENTS:</u></p> <p><u>Mail Stop Missing Parts</u></p>						
<p>The fee of \$65.00 is to be paid as follows:</p> <p><input checked="" type="checkbox"/> A check in the amount of the fee is enclosed.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 16-0750</p> <p><input type="checkbox"/> If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 16-0750</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.</p> <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p>						
<div style="display: flex; justify-content: space-between; align-items: flex-end;"> <div style="text-align: center;">   <hr style="width: 200px; margin: 0 auto;"/> <p><i>Signature</i></p> <p><b>Alex R. Sluzas</b>  Registration No. 28,669</p> <p><b>Paul &amp; Paul</b>  2900 Two Thousand Market Street  Philadelphia, PA 19103  (215) 568-4900</p> </div> <div style="text-align: right;"> <p>Dated: June 16, 2005</p> </div> </div>						
<div style="display: flex; justify-content: space-between;"> <div style="width: 50%;"> <p>cc: Order No. 3241</p> </div> <div style="width: 45%; border: 1px solid black; padding: 5px;"> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on</p> <p style="text-align: center;">June 16, 2005</p> <div style="text-align: center;">   <p><i>(Date)</i></p> </div> <p style="text-align: center;"><i>Signature of Person Mailing Correspondence</i></p> <p style="text-align: center;"><b>Alex R. Sluzas</b></p> <p style="text-align: center;"><i>Typed or Printed Name of Person Mailing Correspondence</i></p> </div> </div>						